



## Butensky & Cohen Financial Security, Inc.

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### SUPERVISION

**Jacqueline A. Bos**  
Chief Compliance Officer

Phone: 904.273.9850  
E-mail: jacque@bandcfinancial.com

Ms. Bos oversees the advisory activities of all supervised persons and manages our compliance related issues.

Duties include reviewing investment activities to ensure all supervised persons are acting in your best interests in discharging their duties. Any transactions that appear to be out of the ordinary are checked.

BROCHURE SUPPLEMENT DATED

**1**  
**JANUARY**  
**2020**

This Brochure Supplement provides information about Thomas L. Ellis that is an accompaniment to the Disclosure Brochure for our firm, Butensky & Cohen Financial Security, Inc. You should have received both of these together as a complete disclosure packet. If you did not receive our Disclosure Brochure or if you should have questions about this Brochure Supplement for Mr. Ellis, you are welcome to contact us - our contact information is listed to the left.

Additional information about Butensky & Cohen Financial Security, Inc and Thomas L. Ellis are also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Thomas L. Ellis, AWMA®

CRD#: 4448624  
Year of Birth: 1974

#### EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

##### Education

1997 - University of North Florida: Bachelor of Science in Business Administration

##### Licenses

FINRA Exams: Series 6 - Investment Company and Variable Contracts Products Rep. (Retired)  
Series 63 - Uniform Securities Agent State Law Examination (Retired)  
Series 65 - Uniform Investment Advisor Law Examination

Designations: Accredited Wealth Management Advisors<sup>SM</sup> (AWMA®) designation - The AWMA® designation requires one to meet ethical standards, education and experience. Designation expires June 22, 2018 and must be renewed.

##### Business Background

09/2009 - Present Butensky & Cohen Financial Security, Inc.  
Position: Executive Vice President  
02/2004 - 04/2009 Bank of America, Global Wealth Management Division  
Position: Vice President - Client Manager  
02/1998 - 02/2004 Nations Bank/Bank of America  
Position: Assistant Vice President - Personal Banker

#### DISCIPLINARY INFORMATION

There are no legal or disciplinary events to report.

#### OTHER BUSINESS ACTIVITIES

##### Individual Trustee Services

Thomas L. Ellis, in his individual capacity, provides individual trustee services. In some cases, he serves as trustee for clients of B&C Financial Advisors. Fees charged by Mr. Ellis for trustee services are detailed and agreed upon with each client before Mr. Ellis assumes trustee responsibilities. Fees charged for trustee services are separate from the fees charged for investment advisory services provided by B&C Financial Advisors. Please refer to Item 5 of Form ADV Part 2A for details of our investment advisory fee arrangements. Mr. Ellis will share in fees received by B&C Financial Advisors in addition to fees received by him for services as trustee. Trustee clients are aware Mr. Ellis receives a trustee fees and B&C Financial Advisors investment advisory fees and receive a copy of Mr. Ellis's trustee invoice and a quarterly investment advisory fee statement.

Mr. Ellis's duties as trustee include administering the trust in accordance with the terms and conditions of the trust instrument and applicable laws under the state of Florida. Mr. Ellis fully respects the traditional duties of trusteeship such as the obligation of loyalty, good faith, prudence, and impartiality in the administration of the trust corpus. He strives to treat beneficiaries fairly and shall keep and render clear and accurate accounting records. Disclosure of the trust, trust terms and trust corpus to third parties is limited to that which, in Mr. Ellis's reasonable discretion, is proportionate and appropriate. He shall consider the reasonable request of any trust beneficiary, but shall be under no obligation to act on such request and shall continue, at all times, to exercise independent discretionary management of the trust estate.

#### ADDITIONAL COMPENSATION

Mr. Ellis does not receive any economic benefit, sales awards, prizes or bonuses that are based on the number or amount of sales, client referrals, or from opening new accounts.